

and is serving in an authorized position for the grade to which he or she has been frocked, enter the effective date of the frocking. If the rated officer has been frocked to a higher grade and is not yet serving in an authorized position requiring the higher grade, enter the date of rank of the lower grade.

(4) Part Ie. Enter basic branch abbreviation. For general officers (less AMEDD) enter GO.

(5) Part If. Enter specialty codes which identify the commissioned officer's designated specialties and enter PMOS for warrant officers. For special branch officers, enter the officer's primary area of concentration. For general officers, enter OOB.

(6) Part Ig. Self-explanatory.

(7) Part Ih. Enter the code and reason for which the report is being submitted. These codes are in Table 3-3.

(8) Part Ii. "From" date is the day following the last day ("Thru") in the preceding report. The "Thru" date is the date of the event that is the reason for the report except for change of duty and change of rater reports. The "Thru" date on change of duty and change of rater reports will be the day before the change. For rated officers signing out on transition leave, the "Thru" date will be the date prior to the date that transition leave begins. Use 4-digit year format (i.e., 19971015)

(9) Part Ij. Enter the number of rated months. (See c(4) above)

(10) Part Ik. Enter the code(s) which apply for rated officer's nonrated time. These codes are in Table 3-4.

(11) Part Il. Indicate the total number of enclosures. If there are no enclosures enter "0".

(12) Part Im. Check box indicating disposition of rated officer's copy of the report.

(13) Part In. Enter the initials of the senior rater's military personnel officer (PSB, S1 or Administrative Officer) before forwarding the OER to HQDA.

(14) Part Io. Enter code for the rated officer's MACOM. (See Table 3-5)

(15) Part Ip. Enter four character alphanumeric PSB code of the rated officer's servicing PSB; or for ARNG officers, two digit STATE MILPO CODE.

3-17. Part II, Authentication

a. Part II is for authentication by the rated officer and rating officials after they have completed their portion(s) of the form at the end of the rating period.

b. Part II is completed by entering the names, SSNs, ranks, and positions of the rating officials. The senior rater's organization, telephone number and email address will also be entered.

c. Detailed instructions for this part are as follows:

(1) Prior to initiating the report, the Bn S1 or administrative office will ensure that data identifying the rating officials are accurate and duty assignment entries reflect position titles. All grade entries will be the current (as of the "Thru" date) grades with a "P" added, only if he or she is in an authorized position for the grade to which he or she is to be promoted. Rating officials who have been frocked to a higher grade and are serving in an authorized position for which he or she has been frocked will enter the frocked grade.

(2) For rating officials who are members of other services, in addition to their rank, enter their branch of service (i.e., USN, USAF, USMC) in the "Branch" block in Part Iic. For example, a US Navy Captain would be entered as CAPT in the rank block and USN in the branch block. For civil service senior raters, enter the pay grade, GS-(13-16). For members of the Senior Executive Service, "SES" will be entered in lieu of a grade.

(3) The rater enters the most recent Army Physical Fitness Test (APFT) performance and height and weight data as of the "Thru" date of the report (see para 3-19.1 for instructions). The rated officer should sign and date the report after its completion and signature by all rating officials in the rating chain. The rated officer's signature verifies the accuracy of the administrative data in Part I (except block m), the rating officials in Part II, the APFT and height and weight data in Part IVc, and that the rated officer has seen the completed OER, Parts I-VII. This action increases administrative

accuracy of the OER since the rated officer is most familiar with and interested in this information. Confirmation of the administrative data also will normally preclude an appeal by the rated officer based on inaccurate administrative data, which by the exercise of due diligence by the rated officer would have been corrected.

(4) If the rated officer is unavailable, unable, or fails to sign the DA Form 67-9 for any reason, the senior rater will either resolve the problem or explain why in DA Form 67-9, Part VIIc. The report will not be delayed because it lacks the rated officer's signature. However, if the report is adverse or contains derogatory information concerning the rated officer and the rated officer has not signed the report, the report must be referred to the rated officer.

(5) Each rating official signs and dates the report before sending it to the next rating official or HQDA. Their signatures verify all entries on the form at the time of their respective signatures. The date entered will not be prior to the "Thru" date or the date of any preceding rating official's signature.

(6) The senior rater will also provide their complete unit mailing address, duty telephone number, and electronic mail address as indicated.

(7) To facilitate the rated officer signing the OER after its completion and signature by the rating officials, the OER may be signed and dated by each individual in the rating chain up to 14 days prior to the "thru" date of the report. The following rules apply:

(a) The senior rater's signature and date cannot be prior to that of the rater's or intermediate rater's.

(b) The rated officer may not sign or date the report prior to the rater, intermediate rater, or senior rater.

(c) As a reminder, senior raters must take into account the senior rater profile restarts prior to dating the OER. A report with a senior rater signature date prior to the effective date of the restart will process and profile against the "old" profile regardless of the actual "thru" date of the OER.

(8) After the report has been completed, the PSB/administrative office will ensure that rating officials have signed the report, and advise the appropriate rating officials of any discrepancies noted before further processing.

3-18. Part III, Duty Description

a. Part III provides for the duty description of the rated officer. It is the responsibility of the rating officials to ensure duty description information is factually correct. The duty description:

(1) Is entered in Part III by the rater and is based on the rated officer's entries on DA Form 67-9-1, if appropriate.

(2) Is an outline of the normal requirements of a specific duty position.

(3) Should show type of work required rather than frequently changing tasks.

b. The duty description portion is intended to provide users of the OER (selection boards, personnel officers, etc.) a succinct description of the rated officer's primary responsibilities and the type of position the officer holds.

c. Detailed Instructions.

(1) Enter in Part IIIa and b the principal duty title and AOC/MOS to identify the rated officer's position. This information will directly reflect the duty title found on the DA Form 4037 (Officer Record Brief) (ORB). Part IIIb will contain, as a minimum, the first five characters of the position requirements code; seven characters if an additional skill identifier (ASI) is needed; or nine characters if a language identification is required.

(2) Part IIIc. The significant duties and responsibilities section will be a succinct narrative, written in prose (not bullet) format. The rater will describe in detail the rated officer's duties and responsibilities. The narrative should be reflective of the duty description on the officer's OER support form. Key elements include: number of personnel supervised, amount of resources under one's control, and scope of responsibilities. Descriptions must be clear and concise and must emphasize specific functions required of the rated officer. The rater should also note conditions peculiar to the assignment. For example, active component officers who are assigned to full-time support duties with reserve component units or reserve component

officers assigned to active units, often perform functions which are peculiar to that duty. In order to ensure that due consideration is given to these factors, the duty description should note these conditions. As a minimum, the description will include principal duties and significant additional duties. When a warrant officer is serving in a commissioned officer position, cite in Part IIIe the approval authority from HQDA, (AR 611-112, para 1-7e).

(3) When an officer is serving under dual supervision, the statement "Officer serving under dual supervision" will be entered as the first line of the duty description. The duty description will be jointly developed by the supervisors in each chain of command.

3-19. Part IV, Performance Evaluation - Professionalism

a. Part IV of DA Form 67-9 is completed by the rater, including the Army Physical Fitness Test (APFT) performance entry and the height and weight entry in Part IVc. Part IV contains a listing of the Army values and the dimensions of the Army's leadership doctrine that define professionalism for the Army officer. They apply across all grades, positions, branches, and specialties. They are needed to maintain public trust and confidence and the qualities of leadership and management needed to maintain an effective officer corps. These values and leader attributes/skills/actions are on the DA Form 67-9 to emphasize and reinforce professionalism. They will be considered in the evaluation of the performance of all officers.

b. Detailed instructions for completing Part IV Performance Evaluation - Professionalism are as follows:

(1) Part IVa - Army Values. The rater will check either a "yes" or "no" in the values block. Mandatory comments are required for all "no" entries. Comments will be made in Part Vb. Base each entry on whether or not the rated officer meets or does not meet the standard for each particular value. Comments, if provided, will refer to a specific value and be included in the narrative in Part Vb; sample reference: "A solid, trustworthy officer whose integrity is beyond reproach." A list of the values and their definitions are as follows (a more detailed explanation can be found in FM 22-100):

(a) HONOR - Adherence to the Army's publicly declared code of values.

(b) INTEGRITY - Possesses high personal moral standards; honest in word and deed.

(c) COURAGE - Manifests physical and moral bravery.

(d) LOYALTY - Bears true faith and allegiance to the U.S. Constitution, the Army, the unit, and the soldier.

(e) RESPECT - Promotes dignity, consideration, fairness and equal opportunity.

(f) SELFLESS SERVICE - Places Army priorities before self.

(g) DUTY - Fulfills professional, legal and moral obligations.

(2) Part IVb - Leader attributes/skills/actions. The rater will place an "x" in either the "yes" or "no" box for each attribute/skill/action. Comments are mandatory for any "no" entries. The rater must choose one attribute from Part IVb.1, two skills from Part IVb.2, and three actions from Part IVb.3 that best describe the rated officer's strengths by placing an "x" in the numbered box. Comments may be provided on these strengths or any other leadership attributes/skills/actions in Part Vb. A list of attributes/skills/actions and their definitions are as follows:

(a) ATTRIBUTES: (Choose one) Fundamental qualities and characteristics.

1. MENTAL - Possesses desire, will, initiative, and discipline

2. PHYSICAL - Maintains appropriate level of physical fitness and military bearing.

3. EMOTIONAL - Displays self-control; calm under pressure.

(b) SKILLS (Competence): (Choose two) Skill development is part of self-development; prerequisite to action.

1. CONCEPTUAL - Demonstrates sound judgment, critical/creative thinking, moral reasoning.

2. INTERPERSONAL - Shows skill with people: coaching, teaching, counseling, motivating and empowering.

3. TECHNICAL - Possesses the necessary expertise to accomplish all tasks and functions.

4. TACTICAL - Demonstrates proficiency in required professional knowledge, judgment, and warfighting.

(c) ACTIONS (Leadership): (Choose three) Major activities leaders perform: influencing, operating, and improving.

1. INFLUENCING: Method of reaching goals while operating/improving.

• COMMUNICATING—Displays good oral, written, and listening skills for individuals/groups.

• DECISION MAKING—Employs sound judgment, logical reasoning and uses resources wisely.

• MOTIVATING—Inspires, motivates and guides others toward mission accomplishment.

2. OPERATING: Short-term mission accomplishment.

• PLANNING—Develops detailed, executable plans that are feasible, acceptable, and suitable.

• EXECUTING—Shows tactical proficiency, meets mission standards, and takes care of people/resources.

• ASSESSING—Uses after-action and evaluation tools to facilitate consistent improvement.

3. IMPROVING: Long-term improvement in the Army, its people and organizations

• DEVELOPING—Invests adequate time and effort to develop individual subordinates as leaders.

• BUILDING—Spends time and resources improving teams, groups, and units; fosters ethical climate.

• LEARNING—Seeks self-improvement and organizational growth; envisioning, adapting, and leading change.

3-19.1. Part IVc, Height Weight/APFT

a. The rater will enter (typed) the Army Physical Fitness Test results and the height and weight data of the rated officer in Part IVc. These entries will be verified by the senior rater and the rated officer when they complete and sign their portion(s) of the OER. If any of these entries are missing, regardless of the reason, the rater will explain the absence in Part Vb. Comments are also required for certain entries related to APFT and height/weight information as detailed below.

b. Detailed instructions for completing APFT entries at Part IVc are as follows:

(1) In the space after the word "APFT" the rater will enter (typed) "PASS" or "FAIL" and in the space after the word "date" enter the month and 4-digit year of the APFT result (APFT refers to both the PT Test for officers without profiles consisting of push-ups, sit-ups, and the two mile run and the alternate PT Test as prescribed by health care personnel for officers with permanent profiles); or "PROFILE" and the month and 4-digit year the profile was awarded. These entries will reflect the officer's status on the date of the most recent APFT administered by the unit as of the thru date of the report. Sample entries are; "PASS JAN 1998", "FAIL FEB 1998", or "PROFILE MAR 2000". APFT numerical scores will not be entered.

(2) The rater will explain an APFT entry of "FAIL". Comments on "FAIL" entries will address reasons for failure and note any progress toward meeting physical fitness standards (AR 350-41). Comments on "PROFILE" entries will be made only if the rated officer's ability to perform his/her assigned duties is affected. Provide comments in Part Vb.

(3) If the APFT has not been taken within 12 months of the thru date of the report the APFT data entry will be left blank. The rater will explain the absence of an APFT entry in Part Vb.

(4) An APFT entry is not required for pregnant officers who are exempt from the APFT in accordance with AR 40-501. For pregnant officers who have not taken the APFT within the last 12 months due to pregnancy, convalescent leave and temporary profile, the rater